

APPROVED

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President & CEO

Voting procedure by proxy in the Extraordinary and Ordinary General Meetings of
EVERGENT Investments' shareholders, convened for April 29/30, 2025

issued according to Law no. 24/2017 on issuers of financial instruments and market
operations, FSA Regulation no. 5/2018 and EVERGENT Investments' Articles of
Association

1. EVERGENT Investments' shareholders may also exercise their vote at the General Meetings of Shareholders (EGMS and OGMS) by proxy, in compliance with the provisions of the regulations in force and the present procedure.
2. The procedure is initiated at the same time as the publication of the Convening Notice and of the voting procedure, published along with the forms of Special Proxy and Statements of the empowered individuals on the website www.evergent.ro, according to *Law no. 24/2017, FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations* and the materials corresponding to the agenda. The documents are made available to shareholders, both on the company's website www.evergent.ro and at its headquarters (*Bacău, 94C Pictor Aman Street, postal code 600164*).
3. EVERGENT Investments SA provides the following to its shareholders, in the Romanian and English¹ languages:
 - (a) The vote by proxy procedure;
 - (b) The Special Proxy form in 3 (three) copies (*for the shareholder/representative/EVERGENT Investments*);
 - (c) The sworn statements of the empowered individuals (*for special and general proxies*);
 - (d) Support materials for vote casting.

The special or general proxy, along with the affidavits of the empowered individuals, may be filled in and sent by the shareholder either in the Romanian or in the English language².

4. The shareholders may designate their representative only in writing, under handwritten signature, in original, or through an electronic document with extended electronic signature, according to Law no. 455/2001 on electronic signature.
5. The status of shareholder and the status of legal representative, in the case of legal entity shareholders or non incorporated entities, shall be proved based on the documents

¹ FSA Regulation no. 5/2018, article 188

² FSA Regulation no. 5/2018, art. 194, paragraph (2), articles 202 and 206

issued by Depozitarul Central or by the participants defined in article 2, paragraph (1), item 19 of (EU) Regulation no. 909/2014³ (article 194 of FSA Regulation no. 5/2018): account statement which proves the status of shareholder and the number of shares held, along with documents attesting the registration of the information regarding the legal representative at Depozitarul Central or the respective participants (article 105, paragraph (11) of Law no. 24/2017).

The participants defined in article 2, paragraph (1), item 19 of (EU) Regulation no. 909/2014, by reference to Article 2, item (f) of Directive 98/26/ EC are: an institution, a central partner, a settlement agent, or a clearing house.

The status of shareholder and the status of legal representative is ascertained based on the list of shareholders from the reference date received from Depozitarul Central.

If the data concerning the quality of legal representative has not been updated at Depozitarul Central by the legal entity shareholder corresponding to the reference date, the proof of legal representative is made based on a certificate of ascertainment issued by the Trade Registry, in true certified copy, or any other document issued by a competent authority from the state in which the shareholder is legally registered and which certifies the quality of legal representative, within the validity term.

If a shareholder (individual or legal entity) is represented by a credit institution providing custody services, it may vote in the General Meeting of Shareholders based on voting instructions received by electronic means of communication. Voting by correspondence, written or electronic ballot papers, shall be signed in handwriting or with an extended electronic signature, if applicable, by the legal representative or the responsible/competent individuals within the credit institution. Proof of the quality of the signatories will be sent along with the vote by correspondence.

The documents attesting the quality of legal representative, drawn up in a foreign language other than English, will be accompanied by a translation made by a certified translator in the Romanian or English language⁴.

6. The empowered individual will submit/transmit, in original, the *Sworn statement* under handwritten signature, *accompanied by the copy of their ID*⁵ or will transmit it as an electronic document with extended electronic signature, according to Law no. 455/2001 on electronic signature, by e-mail, along with the General Proxy (*copy certified under the signature of the empowered*) or Special Proxies (*in original*), up until the limit date April 27, 2025, at 12:00 for the EGMS and 12:45 for the OGMS.
7. The submission/transmission of documents shall be made no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, at the company headquarters (*Bacău, 94C Pictor Aman Street, postal code 600164, secretariat*) or electronically, by e-mail, at the address aga@evergent.ro with an extended electronic

³ (EU) Regulation no. 909/2014 of the European Parliament and Council on July 23, 2014, on improving the settlement of securities within the European Union and regarding central depositories of securities and of amending Directives 98/26/EC and 2014/65/EU and of (EU) Regulation no. 236/2012.

⁴ According to FSA Regulation no. 5/2018 on issuers of financial instruments and market operations, article 194, paragraph (2).

⁵ FSA Regulation no. 5/2018, article 204

signature, according to Law no. 455/2001 on extended electronic signature, as well as to FSA regulations.

8. The revocation of the proxy shall be done in writing through any designation forms, no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, drafted in the Romanian or English language.
9. An individual acting as a representative may represent several shareholders, the number of shareholders being therefore unlimited.
10. Only those proxy forms that contain all the identification elements required for the signatory and the proxy will be considered valid.
11. The shareholders who voted through ballots or special proxies can modify their initial voting option, the last vote expressed and registered no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, being considered valid. If the shareholder who expressed their vote through correspondence participates personally or through a representative at the General Meeting, the vote expressed through correspondence is cancelled, thus only the vote expressed personally or through a representative being considered valid. *If the person participating in the GMS is someone other than the one who expressed the vote through correspondence, for the validity of their vote, they will submit a written revocation of the vote through correspondence to the GMS, signed by the shareholder or by the representative who expressed the vote through correspondence⁶.*

The direct participation of the shareholder at the General Meeting, personally or through their legal representative, removes any previously submitted voting options.⁷

12. Instructions regarding the Special Proxies:

- The special proxy may be granted to any individual for representation in the General Meeting of Shareholders and contains specific voting instructions from the shareholder, with the explicit mention of the vote option for each item on the agenda of the General Meeting of Shareholders. In this case, the provisions of article 125, paragraph (5) of Law no. 31/1990 are not applicable.
- The special proxy will be filled in in three original counterparts: one remains with the shareholder, one will be handed to the empowered individual and one counterpart is submitted to the central headquarters (Bacău, 94C Pictor Aman Street, code 600164, secretariat), no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, otherwise the voting right in the General Meeting of Shareholders is lost⁸.
- A shareholder has the obligation to give, within the form of special proxy, specific voting instructions to the person that represents them, for each item on the agenda of the GMS. Discretionary voting is not permitted.
- In case the General Meeting of Shareholders discusses, in compliance with legal provisions, certain items not included in the published agenda, the proxy may vote

⁶ Law no. 24/2017, article 105, paragraph (21)

⁷ Law no. 24/2017, article 105

⁸ The representative may participate in the GMS proceedings and exercise any other recognized rights of the represented shareholder, according to the mandate, with the exception of exercising the voting right within the session.

- on them, on the side, in compliance with the interests of the represented shareholder (Law no. 24/2017, article 105, paragraph (12)).
- The shareholder fills in the personal identification data.
 - Chooses a representative and an alternate⁹, should the case arise, for whom the following data is filled in: name and surname of the representative, address, social security number¹⁰.
 - For each problem submitted to the vote, *the shareholder* will check accordingly, on each option, "for" or "against" or will carry out the mention of "abstention" according to personal choices¹¹;
 - According to article 105, paragraph 23³ of Law no. 24/2017, abstention is qualified as a position that may be adopted by the shareholder, without it representing an expressed vote¹².
 - the shareholder will personally fill in the voting options on each item of the agenda, will write their name clearly, in capital letters, will date and sign the forms; in the case of legal entities, the proxies are signed by the legal representative and the stamp is applied (if applicable).
 - the filled in and signed by hand proxy accompanies the Affidavit of the proxy and the copy of their ID.
13. The proxies that are not registered with the company until the moment mentioned in the Convening Notice shall not be considered for the vote quorum within the EGMS and OGMS.
 14. The special proxy is valid only at the GMS session for which it was granted and does not give the representative the right to decide on the shares that they represent or on the dividends associated with these shares.
 15. The legal entity shareholder represented by another individual (*other than the legal representative*) will submit to the central headquarters (*Bacău, 94C Pictor Aman street, postal code 600164, secretariat*) no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, a special proxy signed by the legal representative of the legal entity, as well as the Sworn statement of the empowered person accompanied by the copy of the ID.
 16. Shareholders who voted through special proxies or ballots can modify their initial voting option or means of expressing the vote, the last vote expressed and registered until the deadlines corresponding to the chosen modality of voting being considered valid.
 17. A shareholder is allowed to give one special proxy to only one representative and the proxy is valid only for the mentioned GMS.
 18. The special proxy must be dated; special proxies having a subsequent date result in revocation of the powers previously dated.
 19. The shareholders' representation in the EGMS and OGMS respectively, based on a general proxy¹³.

⁹ FSA Regulation no. 5/2018, article 200, paragraph (5)

¹⁰ Social Security Number or any other similar identification element of the individual.

¹¹ Law no. 24/2017, article 105, paragraph (12), FSA Regulation no. 5/2018, article 201, paragraph (2)

¹² Law no. 24/2017, article 105 (23³) – "...should the situation arise in which the issuer's Articles of Association do not order otherwise, the "abstention" position adopted by a shareholder regarding the items registered on the agenda of a GMS does not represent an expressed vote."

¹³ Law no. 24/2017, article 105 (13), FSA Regulation no. 5/2018, article 202

The shareholder may grant a valid general proxy for a period that shall not exceed 3 years, allowing their representative to vote in all aspects under the debate of the EVERGENT Investments EGMS and OGMS respectively, *with the condition that the proxy be given by the shareholder, just as client, to an intermediary (defined according to article 2, paragraph (1), item 19 of Law no. 24/2017), or to a lawyer.*

Before their first use, general proxies are submitted/sent to the company no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, in copy, including *the mention of their being in compliance with the original, under the representative's signature¹⁴, along with the Representative's Affidavit in original¹⁵ and their ID copy.* Certified copies of the proxies are retained by the company, *a mention of these being made in the minutes of the Extraordinary and Ordinary General Meeting.*

The empowered individual cannot be replaced by another person, unless that right has been expressly given to them by the shareholder in the proxy¹⁶. If the empowered person is a legal entity, they may exercise their mandate received through any person that is part of the administrative or management body or of their employees, *according to the mentions of empowerment and the proofs concerning the respective quality of the representative.*

The specifications from points 1-11 shall be implemented correspondingly and in case of representation through general proxy.

The general proxy must contain at least the following information:

1. name of shareholder;
2. name of the representative (to whom the empowerment is given);
3. date of empowerment, as well as its validity period, abiding by legal provisions; the proxies having a subsequent date will result in the revocation of the powers previously dated;
4. indicating the fact that the shareholder empowers the representative to participate and to vote on their behalf through the general proxy in the EGMS and/or OGMS for the entire holding of the shareholder on the reference date, with the express specification of the company – EVERGENT Investments – for which the general proxy is used.

The general proxy ceases through:

- (i) written revocation by the shareholder that granted the proxy, transmitted to EVERGENT Investments no later than 48 hours prior to the date of the first convening of the EGMS and OGMS respectively, written in Romanian or English, or
- (ii) loss of shareholder status by the shareholder who granted the proxy on the reference date, or
- (iii) loss of intermediary status or attorney of the representative in fact.

¹⁴ Law no. 24/2017, article 105 (13)

¹⁵ Law no. 24/2017, article 105, paragraph (14)

¹⁶ Law no. 24/2017, article 105 (16)

A person acting as a representative may represent several shareholders, the number of shareholders therefore not being limited. If a representative has different proxies given by several shareholders, this grants them the right to vote for a shareholder differently than the vote for another shareholder. The individual who represents more shareholders based on proxies expresses the voting options of the persons represented by cumulating the number of votes «for» and «against», as well as the number of mentions of «abstention» without compensating them¹⁷.

The shareholders may not be represented at the General Meeting of Shareholders based on such a proxy by an individual in *a situation of conflict of interest* that may arise especially in one of the following cases:

- (a) is a controlling shareholder of the company, or other entity, controlled by the respective shareholder;
- (b) is a member of the administrative, management or supervisory board of the company, of a controlling shareholder or controlled entity as provided on item a);
- (c) is an employee or an auditor of the company, or of a controlling shareholder or controlled entity as provided on item a);
- (d) is the spouse, relative or in-law up to the fourth degree, of one of the individuals referred to in items a) - c).

* * *

The technical vote counting Secretariat has access to information regarding previously expressed votes through special proxies and ballots as the respective items on the agenda are submitted to a vote¹⁸.

The people involved in the application of the dispositions of the present Procedure comply with the legal provisions regarding the processing of personal data.

For more information on the way we process the shareholders' personal information, you may access „*Notification regarding the processing of Personal data as per EU Regulation 2016/679*” available at [Personal data protection](#).

Furthermore, for any question regarding the way in which we process your personal data, you may contact us at our e-mail address gdpr@evergent.ro , or by phone (+40) 787.869.312.

The working procedure of the Technical Committee:

Appointing members for the Technical Committee is done through an Appointing Decision issued by the President CEO and validated by EVERGENT Investments' Board of Directors.

- The staff appointed in the committee is instructed concerning the implementation of procedures for gathering information from voting ballots by correspondence, special proxies and maintaining secrecy about the activity being carried out and the handled documents.
- The designated operators develop their activity starting with the convening date of the EGMS and OGMS and until the last day of exercising the previously expressed vote through Special Proxies or Voting Ballots by correspondence.

¹⁷ Law no. 24/2017.

¹⁸ Law no. 24/2017, article 105, paragraph (19)

- The Committee consists of individuals who will keep safe and ensure the confidentiality of the votes until the moment of submitting to vote the draft resolutions entered on the agenda.
- As the special proxy forms are submitted to central headquarters, the committee members are required to verify the way in which the forms are filled in and to enter the voting options in the electronic vote centralization system.
- At the end of each day, the operator writes a report in which they record the number of operations performed and the number of operated voting forms; the forms must be stored safely and confidentially. The reports also mention any incidents that occurred during the data collection period (Voting Ballots through correspondence, Special Proxies) and how to solve them, should the case arise.
- The operators responsible for the entry of the voting data do not have access to information regarding the total number of registered votes, the votes entered by other operators, synthetic data regarding the vote result.
- Access to the electronic application is made based on access levels, password based, and it is strictly monitored.
- The members of this committee sign confidentiality *agreements*.

At the time of disclosure of synthesis information (centralized) concerning the vote, the centralized situation of the votes previously expressed (*through printed voting ballots by correspondence, electronic and special proxies*) is submitted within the EGMS and the OGMS to the members of the technical secretariat. The minutes will contain information concerning the status of the recorded electronic votes, the status of printed ballots collected by operators, the status of special proxy votes collected by operators, the centralized status of the expressed votes.

The company undertakes to keep copies of the Special Proxies and of the Voting Ballots by correspondence in conditions of safety and confidentiality.

The voting procedure is approved by the Board of Directors, based on legal competencies and those in the Articles of Association.

The computer system is made in collaboration with the company specialized which certifies *that the IT application for the management and development of the EGMS and OGMS meets the requirements of the regulations in force¹⁹, namely:*

- *The synthesis information concerning the previously expressed vote (through printed voting ballot by correspondence, electronic or special proxy) is revealed to committees with corresponding tasks, only within the GMS, after they were collected and entered, and the votes in the room were cast.*
- *The operators responsible for introducing the voting data do not have access to information concerning the total number of recorded votes, the votes introduced by other operators, synthesis data concerning the result of the vote.*
- *At the time of the disclosure of synthesis information concerning the vote, the centralized situation of the votes previously expressed (through printed voting ballots by correspondence, electronic and special proxies) is submitted within the*

¹⁹ Law no. 24/2017

EGMS and OGMS to the members of the technical secretariat. The minutes will contain information concerning the status of the recorded electronic votes, the status of printed voting ballots collected by operators, the status of special proxy votes collected by operators, the centralized status of the votes that were expressed.”

- *In the case of exercising the vote through electronic means at a distance, in the situation in which the shareholders participate to the EGMS and OGMS through electronic means, in compliance with FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations²⁰, the means of casting the electronic vote allow for the later verification of the voting method before or during the GMS.*
- EVERGENT Investments has implemented the Information Security Management System (ISO 27001 standard), certified by the SYSTEMA SRL Romania, with IAS accreditation (The International Accreditation Service) USA. The computer system is audited according to FSA Norm no. 4/2018 on the management of operational risks generated by the IT systems used by the entities authorized / approved / registered, regulated and / or supervised by the Financial Supervisory Authority.

Georgiana Dolgoș – Director

Gabriel Lupașcu – Compliance Officer

Irina Bibire – Head of Corporate Governance
and Investor Relations

²⁰ article 197, paragraph (6) of FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations