

Claudiu Doroş
President of the Board of Directors

Voting by correspondence procedure during
the Ordinary General Meeting of
EVERGENT Investments shareholders,
convened for December 18/19, 2025

According to Law no. 24/2017 on the issuers of financial instruments and market operations, FSA Regulation no. 5/2018 and the EVERGENT Investments Articles of Association:

1. EVERGENT Investments shareholders may exercise their vote by correspondence before the General Meeting of Shareholders (OGMS), no later than 48 hours prior to the date of the first convening of the OGMS, according to the Convening Notice, the provisions of Law no. 24/2017 and FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations. Exercising the voting right is done by using the voting ballot either printed or in electronic form, in compliance with the provisions of the present procedure.
2. The voting ballot forms provided by EVERGENT Investments can be either in a material format (*printed – paper format*) or electronic, both being special documents granting the shareholders the right to exercise their vote prior to the General Meeting of Shareholders, in compliance with article 105, paragraph (19) of Law no. 24/2017 and FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations.
3. The printed voting ballots (*paper format*) are provided at the company's main headquarters (Bacău, 94C Pictor Aman street, postal code 600164) and are published on the website www.evergent.ro, according to the provisions of the Convening Notice.
4. EVERGENT Investments SA provides its shareholders, in Romanian and English¹, with:
 - (1) voting ballot forms
 - (2) voting by correspondence procedure
 - (3) support documents for the vote

The vote result (in aggregate form) corresponding to each item on the agenda for the shareholders who voted by correspondence *is presented during the OGMS* to the technical secretariat for vote counting, by the Committee handling the collection of votes expressed prior to the General Meeting (through voting ballots and special powers of attorney) *at the time each resolution is submitted to a vote during the GMS*, based on the minutes regarding votes cast prior to the GMS².

¹ According to article 206 of FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations.

² According to Law no. 24/2017, Article 105.

5. The status of shareholder and the status of legal representative, in the case of legal entity shareholders or non incorporated entities, shall be proven based on the documents issued by the Central Depository or by the participants defined in article 2, paragraph (1), item 19 of (EU) Regulation no. 909/2014³ (article 194 of FSA Regulation no. 5/2018): account statement certifying the status of shareholder and the number of shares held, together with documents attesting the registration of the information regarding the legal representative at the Central Depository/respective participants (article 105, paragraph (11) of Law No. 24/2017).

The participants defined in article 2, paragraph (1), item 19 of (EU) Regulation no. 909/2014, by reference to article 2, item (f) of Directive 98/26/EC are: an institution, a central partner, a settlement agent or a clearing house.

6. *Shareholder status and legal representative status proof is made based on the shareholder list on the reference date received from the central depository. If the data regarding the legal representative status has not been updated at the central depository by the legal entity shareholder corresponding to the reference date, the legal representative status is proven based on an ascertainment certificate issued by the Trade Registry at least 30 days before the date of the General Meeting, in copy according to the original or any other document issued by another competent authority from the country where the shareholder is legally registered and that certifies the status of legal representative.*

If a shareholder (individual or legal entity) is represented by a credit institution providing custody services, then the representative will be able to vote in the General Meeting of Shareholders based on the voting instructions received by electronic means of communication. The vote by correspondence, written or electronic ballots, will be signed by hand or with an extended electronic signature, as applicable, by the legal representative or the person responsible/authorized within the credit institution. Proof of the quality of the signatories will be submitted together with the correspondence vote.

The documents that certify the legal representative status drawn up in a language other than English will be accompanied by a translation made by an authorized translator in Romanian or English⁴.

Voting by correspondence may be expressed by a representative only if they have received a special or general power of attorney from the shareholder that they represent that is submitted at EVERGENT Investments SA, in compliance with article 105, paragraph (10) of Law no. 24/2017 and article 208, paragraph (3) of FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations.

If the person representing the shareholder by personal participation in the General Meeting of Shareholders is someone other than the one who has cast the vote by correspondence, then for the validity of the vote, they should present a written revocation of the vote by correspondence to the Attendance Secretariat at the OGMS, signed by the shareholder or the representative who cast the vote by correspondence. This is not necessary if the shareholder or their legal

³ (EU) Regulation no. 909/2014 of the European Parliament and Council on July 23, 2014, on improving the settlement of securities within the European Union and regarding central depositories of securities and of modifying Directives 98/26/EC and 2014/65/EU and of (EU) Regulation no. 236/2012.

⁴ According to FSA Reg. no. 5/2018 on the issuers of financial instruments and market operations, Article 194, paragraph (2).

representative is present at the General Meeting of Shareholders.

7. Vote exercising through printed voting ballot (paper format) is made as follows:

- for printed voting ballots (*paper format*) obtained from company headquarters or the website www.evergent.ro, shareholders will fill in their personal information (or that of the legal entity) and voting options, they will sign in writing (through the legal representative), they will attach documents that certify the identity of the person: ID copy of the individual shareholder, ID copy of the legal representative or, should the case arise, documents to certify the legal representative status (as per item 5) for legal entity shareholders.
- Printed voting ballots (*paper format*) in original are to be presented at company headquarters (Bacău, 94C Pictor Aman street, postal code 600164, secretariat) or sent as an electronic document with an extended electronic signature, according to Law no. 214/2024 on electronic signature, along with the above mentioned documents, via e-mail to the address aga@evergent.ro.
- Only voting ballots received until the limit date of December 16, 2025, at 11⁰⁰ a.m. are valid.

8. Vote exercising by electronic voting ballot

Electronic voting may be exercised by individual and legal entity shareholders (through legal representative) holding a qualified digital certificate.

Shareholders' access to electronic voting is allowed *based on a qualified digital certificate on a token device issued by an accredited certification authority and the access elements unique for each shareholder*, created according to the access elements generation procedure.

- The token is inserted in the USB port of the computer;
- The module “ELECTRONIC VOTING ACCESS REQUEST” is accessed;
- *After the correct entry of the token password, the shareholder's identification data is entered (be advised, it is mandatory that the e-mail address be the one attached to the digital certificate);*
- The electronic vote request is launched “SEND ACCESS REQUEST”;
- *For the legal entity shareholders, the documents attesting the status of legal representative⁵ (item 5) in electronic format, in case the data was not updated at the central depository, signed with extended electronic signature, will be sent from the specified e-mail address in the Electronic Voting Access Request to: aga@evergent.ro;*
- *The validity of the request is checked (identity of the shares' holder with that of the digital certificate holder – legal representative in case of legal entity shareholders) and a unique access link is sent to the e-mail address of the requester, to create a user and password;*
- *Based on the qualified digital certificate and the access elements (user and password), the shareholder may express their vote through the “ELECTRONIC VOTE” module.*

⁵ Same as 2

9. Electronic voting ballots sent by shareholders registered on the reference date corresponding to the Ordinary General Meeting of Shareholders for which they express their vote are valid.
10. Shareholders who voted through voting ballots may change their initial voting option, the last expressed vote registered no later than 48 hours prior to the date of the first convening of the OGMS being considered valid.

If the shareholder who expressed their vote by correspondence participates in person or through a representative at the Ordinary General Meeting of Shareholders, the vote by correspondence cast is cancelled. In this case, only the vote cast in person or through a representative within the OGMS will be taken into account.⁶

If the person participating in the OGMS is someone other than the one who expressed the vote by correspondence, for the validity of the vote, *they will present to the OGMS a written revocation* of the vote by correspondence signed by the shareholder or the representative who cast the vote by correspondence. This is not necessary if the shareholder or their legal representative is present at the Ordinary General Meeting of Shareholders.

11. The IT application validates the accuracy of filling in the electronic voting ballot according to the Voting procedure step by step, and no votes that could be annulled due to procedural flaws will be registered in the system.

This action may be carried out no later than 48 hours prior to the first convening of the OGMS. The shareholder will have the validation confirmation and registration of the electronic vote displayed *on the monitor* and may *print it*.

EVERGENT Investments performs the validation of the electronic vote by confronting the data from the digital certificate with the identification data recorded on the electronic voting ballot, with the information from the attached documents and the information within the consolidated register of shareholders at the reference date.

12. For legal entity shareholders, the printed voting ballot will be filled in personally and signed by hand by the legal representative of the legal entity, according to the Articles of Association and/or decisions of statutory bodies, the signer taking on full and exclusive responsibility for their legal representative status.

13. EVERGENT Investments, through its internal working procedures and technical regulations, aims to ensure shareholder identity verification for the shareholders voting electronically and the security of electronic communications.

The technical secretariat for vote counting has access to the information regarding the votes received prior to the Ordinary General Meeting of Shareholders as the individual items on the agenda are submitted to a vote⁷.

Electronic voting means allow for the subsequent verification of the voting method before or during the Ordinary General Meeting of Shareholders and, at the same time, provide the opportunity for each shareholder present at the meeting to be able to check their exercised

⁶ Law no. 24/2017, article 105

⁷ According to Law no. 24/2017, article 105 and FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations

vote⁸.

The individuals involved in the enforcement of the hereby procedure will ensure they keep personal data confidential. For more information on the way in which we process the shareholders' personal data, you may access "*Notification on the processing of personal data, as per EU Regulation 2016/679*" available at [Processing personal data](#) or you may contact us at the e-mail address gdpr@evergent.ro or at the telephone number (+40) 787.869.312.

14. In order to manage attendance and voting information at the Ordinary General Meeting of Shareholders under safety and confidentiality conditions, the internal procedures are abided by and are presently referring to:

14.1. Working procedure of the Technical Committee: access to the application is only permitted to the EVERGENT Investments staff appointed and empowered as such.

The appointed individuals, according to their attributions, have different access levels through the usernames and passwords assigned to them.

- a. The members of the Committee have signed a "*Nondisclosure Agreement*" through which they undertake to safe keep and ensure the confidentiality of and not divulge the personal data, shareholders' holdings and submitted votes.
- b. During the OGMS proceedings, EVERGENT Investments employees – members of the technical attendance Secretariat and technical vote counting Secretariat within the Ordinary General Meeting have differentiated access through usernames and passwords.
- c. It is forbidden for any individuals, employees and managers to request data or information regarding the situations registered in the data base.
- d. Internal procedures are periodically updated.

The appointing of the members of the Technical Committee is made through a Resolution by the company's executive management that was approved by the Board of Directors.

- The staff appointed to the committee is trained with respect to the application of the procedures for the gathering of information on the Correspondence Vote Ballots, Special powers of attorney and maintaining confidentiality regarding the activity carried out and the handled documents.
- The appointed operators carry out their activity starting on the OGMS convening date and until the last date of prior voting through special powers of attorney or correspondence voting ballots.
- The committee is comprised of individuals who will safeguard and ensure the confidentiality of the votes until they are provided to the bodies elected by the OGMS of December 18/19, 2025⁹.
- As printed voting ballots (*paper format*) are received by post or submitted at the headquarters (*Bacău, 94C Pictor Aman street, postal code 600164, secretariat*), committee members are bound to verify the way the forms are filled in and enter the voting options in the electronic system for vote centralization.

⁸ FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations, article 197, paragraph (6)

⁹ Same as 6 (Law no. 24/2017, Article 105)

- The forms are sealed and stored under safety and confidentiality conditions. The incidents that occurred during data collection (voting by correspondence ballots, special powers of attorney) and method of solving them will also be presented in the report, should the case arise.
- The activity reports and processed forms are submitted based on the Minutes to the secretariat elected by the OGMS.
- The operators who are responsible for the entry of voting data are not allowed access to information such as: total number of registered votes, votes entered by other operators, other synthesis data regarding the vote result.
- Access to the electronic application is granted with access levels, based on the password and is strictly monitored. The members of the committee responsible for data collection do not have access to the centralized information.
- The members of the committee tasked with verifying, processing and centralizing *the votes expressed prior to the Ordinary General Meeting of Shareholders* pass the votes on to the technical vote counting secretariat at the time each resolution is submitted to a vote during the OGMS¹⁰.

At the reveal time of the synthesized (centralized) information regarding voting, the centralized status of previously expressed votes is submitted during the OGMS to the technical Secretariat members (*through voting by correspondence ballots, printed or electronic, and special proxies*). The minutes will include information regarding: the status of the registered electronic votes, the status of the printed vote ballots collected by operators, the status of expressed votes through special powers of attorney collected by operators, the centralized situation of expressed votes.

The only valid expressed votes are “For” and “Against”. The “abstaining” position does not represent an expressed vote according to the provisions of article 105, paragraph 23³ of Law no. 24/2017.

The company is obliged to keep the copies of the special powers of attorney and the voting ballots by correspondence under safety and confidentiality conditions.

The procedure hereby abides by the current legal provisions, namely: Law no. 24/2017 on the issuers of financial instruments and market operations, FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations and EU Regulation 1212/2018 transposing Directive EC 36/2007.

The voting procedure is approved by the Board of Directors, based on legal competencies and the provisions of the Articles of Association.

14.2. Technical measures of ensuring data safety, confidentiality and integrity aims to:

¹⁰ Same as 6 (Law no. 24/2017, Article 105)

- a. Ensure the safety of EVERGENT Investments' internal network and database server – hardware firewall. The server room is secured and access is permitted only to the system administrators.
- b. Use the backup equipment and safety copies for the databases.
- c. Encrypt passwords for the application users (*external and internal*) – there is no access to the passwords.
- d. Use SSL encryption for communication on the web server – CertSign certificate.
- e. Monitor each operation on the database (logs).
- f. Ensure the confidentiality of expressed voting options for electronic votes. The IT application does not allow for the punctual viewing of the voting options by internal users in the case of exercising electronic votes. Verification of the voting options is only performed by the shareholder-user after the vote is expressed (*the shareholder may also print out their expressed voting options*).
- g. Make sure that testing the system's functionality is done on a test database, completely separate from the original database and not containing any real data. Once the consolidated register of the Ordinary General Meeting of Shareholders is uploaded, programmers do not have access to real data, access to the application being allowed as per the procedure.

The IT system is created in collaboration with a specialized company *certifying that the IT application for managing and carrying out the OGMS meets the requirements of the applicable regulations¹¹ in force, namely:*

- *The synthesis information concerning the previously expressed vote (through ballot by correspondence printed, electronic or special proxy) is revealed to the Committees with corresponding tasks, only within the OGMS, after the votes expressed during the proceedings were collected and entered.*
- *The operators responsible for entering the voting data do not have access to information concerning the total number of recorded votes, the votes introduced by other operators or the synthesis data concerning the result of the voting process.*
- *At the time of disclosing the synthesis information concerning the vote, the centralized status of the votes that were previously expressed (through ballots by correspondence - printed, electronic - and special proxies) is submitted within the OGMS to the members of the technical secretariat. The minutes will contain information concerning: the status of the recorded electronic votes, the status of printed ballots, the status of special proxy votes, the centralized status of the expressed votes.*
- *In the event that the vote is remotely cast through electronic means, if the shareholders participate to the OGMS through electronic means, in compliance with FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations¹², the electronic vote casting means allow for the later verification of the voting method before or during the Ordinary General Meeting of Shareholders.*

EVERGENT Investments has implemented the Information Security Management System (ISO 27001 standard), certified by SYSTEMA SRL Romania, with IAS accreditation (The

¹¹ Law no. 24/2017, article 105, paragraph (19), Directive 2007/36/EC of the European Parliament and European Council

¹² Article 197, paragraph (6) of FSA Regulation no. 5/2018 on the issuers of financial instruments and market operations

International Accreditation Service) USA. The computer system is audited according to FSA Norm no. 4/2018 on the management of operational risks generated by the IT systems used by the authorized/approved/registered entities, regulated and/or supervised by the Financial Supervisory Authority.

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